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Guidelines connected with awarding certain research grants to researchers at the Disciplinary Domain of Medicine and Pharmacy

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Guidelines connected with awarding certain research grants to researchers at the Disciplinary Domain of Medicine and Pharmacy

Background

Career development and recruitment of prominent teachers and researchers is a key issue for the development of the Disciplinary Domain of Medicine and Pharmacy. In order to promote recruitment opportunities and provide good conditions for the development of individual researchers, the disciplinary domain intends to financially support teachers and researchers who have been awarded prestigious research grants in strong competition.

This guideline describes which research grants that qualify for targeted financial support from the disciplinary domain, as well as research grants that qualify for permanent position.

On the initiative of the relevant department board, employments can be assessed against the policy of *Anställning som lärare av personer som beviljats vissa externa forskningsanslag* [Employment as teacher by persons who have been awarded certain external research funding] (UFV 2013/844). The teaching positions which may be considered are associate senior lecturer or senior lecturer, depending on where the person is in their career. The application for employment as associate senior lecturer/senior lecturer shall be reviewed by the Board of the Disciplinary Domain, which can recommend exempting the employment from the duty to advertise the position. A decision will then be made by the HR Director after a request from the Board of the Disciplinary Domain. The preparation process for exemption from the duty to advertise the position is described in the regulations of *Anställning som lärare av personer som beviljats vissa externa forskningsanslag* (UFV 2013/844). Note that it is assumed that all eligibility requirements for the employment as associate senior lecturer/senior lecturer are fulfilled, such as e.g. the person, in addition to having the scientific expertise, must have sufficient teaching expertise, and that the person satisfies the qualification requirements for employment as a teacher at Uppsala University. For the disciplinary domain's researchers with a clinical position, the principal health care authority must also approve a combined position. The department board can reject the researcher's application for a teaching position at Uppsala University if e.g. the financial means for the employment are lacking, or if other objective reasons exist. If the application is rejected, the department board shall report their reasons for rejecting the application to the Board of the Disciplinary Domain.

For co-funding of other prestigious research grants, not covered in this document, no general guidelines have been established. Decisions are instead made on a case-by-case basis.

This guideline is intended to apply to grants submitted after 1 July 2020, and replaces the previous *Riktlinje för åtgärder i samband med beviljandet av vissa forskningsbidrag till forskare inom vetenskapsområdet för medicin och farmaci* [Guideline for procedures connected with the awarding of certain research grants to researchers at the Disciplinary Domain of Medicine and Pharmacy] (MEDFARM 2013/55).

Guidelines

1. *Researchers at the Disciplinary Domain of Medicine and Pharmacy who have been awarded a 6-year consolidator grant or a grant for half-time position in clinical research environment (3 years, with the possibility of a 3-year extension) from the Swedish Research Council*
 - a. A researcher who has been awarded a 6-year consolidator grant receives funding of SEK 250,000 per year for six years, provided by the disciplinary domain.
 - b. If the researcher does not have a permanent position at Uppsala University, the Board of the Disciplinary Domain presumes that the department concerned employs the grant recipient as researcher with a permanent position.
 - c. Via the department board, the researcher has the *opportunity* to apply for employment as associate senior lecturer/senior lecturer at Uppsala University during the last three years of the researcher employment. This assumes that the researcher applies to retain the grant after three years. For researchers who have been awarded a grant for half-time position in clinical research environment, the researcher shall apply for an extended grant, i.e. a further three years of grant (3+3 years in total). The application for employment as associate senior lecturer/senior lecturer shall be reviewed by the Board of the Disciplinary Domain, which can recommend exempting the employment from the duty to advertise the position. The department board shall always give their reasons as to why or why not a researcher should be considered for employment as associate senior lecturer/senior lecturer with the exception from the duty to advertise the position, and the department board shall inform the Board of the Disciplinary Domain of these reasons if the application from the researcher is rejected by the department board.

2. *Researchers at the Disciplinary Domain of Medicine and Pharmacy who have been awarded another 6-year research employment in national or international competition*
 - a. If the researcher does not have a permanent position at Uppsala University, the Board of the Disciplinary Domain presumes that the department concerned employ the grant recipient as researcher with a permanent position.
 - b. Via the department board, the researcher have the *opportunity* to apply for employment as associate senior lecturer/senior lecturer at Uppsala University during the last three years of the research employment. The application for employment as associate senior lecturer/senior lecturer shall be reviewed by the Board of the Disciplinary Domain, which can recommend exempting the employment from the duty to advertise the

position. The department shall always give their reasons as to why or why not a researcher should be considered for employment as associate senior lecturer/senior lecturer with the exception from the duty to advertise the position, and the department board shall inform the Board of the Disciplinary Domain of these reasons if the application from the researcher is rejected by the department board.

3. *Researchers who have been awarded a research grant from the European Research Council (ERC)*

- a. A researcher who has been awarded a research grant from the ERC (Starting, Consolidator, Advanced, or Synergy Grant, but not Proof of Concept Grant) can apply for an annual funding of SEK 750,000 for five years. The Board of the Disciplinary Domain provide SEK 500,000 of the funding, and the remaining SEK 250,000 shall be requested from the Vice-Chancellor's strategic funds. For the ERC Synergy Grant, if multiple researchers at the disciplinary domain are participating in the same Synergy Grant project, only one grant of SEK 750,000 per year for five years can be applied for. Participating researchers shall then share this funding.

The Board of the Disciplinary Domain would prefer if the researcher requests this money during the second part of their grant period. This enables the researcher to use the funding from the disciplinary domain and the Vice-Chancellor as "bridging funding". This would enable the researcher to avoid having a surplus during the initial years and that the researcher can maintain the financial conditions when the ERC grant ends.

- b. If the researcher does not have a permanent position at Uppsala University, the Board of the Disciplinary Domain presumes that the department concerned employ the grant recipient as researcher with a permanent position.
- c. Via the department board, the researcher have the *opportunity* to apply for employment as associate senior lecturer/senior lecturer at Uppsala University during the last three years of the grant. The application for employment as associate senior lecturer/senior lecturer shall be reviewed by the Board of the Disciplinary Domain, which can recommend exempting the employment from the duty to advertise the position. The department shall always give their reasons as to why or why not a researcher should be considered for employment as associate senior lecturer/senior lecturer with the exception from the duty to advertise the position, and the department board shall inform the Board of the Disciplinary Domain of these reasons if the application is rejected by the department board.

4. *Encouragement grant to researchers at the Disciplinary Domain of Medicine and Pharmacy who have received the score A on their ERC application but have not been awarded any grant (applies only to ERC Starting and ERC Consolidator Grant)*

- a. A researcher who has received the score A on their application for ERC Starting Grant but was not awarded a grant can apply for an encouragement grant from the disciplinary domain of SEK 500,000 per year for two years. To be able to apply for this encouragement grant it is postulated that the

researcher has submitted an application, but was rejected, to the funders who support researchers whose application was rejected despite receiving the score A on their ERC application (e.g. Swedish Foundations' Starting Grant). As a further condition, the researcher must submit a new ERC application in order to be awarded the encouragement grant. If the researcher is not eligible to apply for the ERC grant again, they are still eligible to apply for this encouragement grant.

- b. A researcher who has received the score A on their application for ERC Consolidator Grant can apply for an encouragement grant from the disciplinary domain of SEK 750,000 per year for two years. To be eligible for the encouragement grant, the researcher must submit a new ERC application. If the researcher is not eligible to apply for the ERC grant again, they are still eligible to apply for this encouragement grant.
- c. For researchers placed on the ERC's reserve list for ERC Starting and Consolidator Grant funding, a final rejection must be received before the researcher can apply for the above encouragement grant from the disciplinary domain.

5. *Researchers admitted as Wallenberg Academy Fellows*

- a. The researcher admitted as Wallenberg Academy Fellows can request annual co-funding for five years, with two-thirds of the funding coming from the disciplinary domain (and from ALF-funds for clinical researchers). The remaining one-third is requested from the Vice-Chancellor's strategic funds. The department proposes the amounts to the Board of the Disciplinary Domain. The size of the total co-funding is decided by the Board of the Disciplinary Domain on a case-by-case basis. A maximum of SEK 500,000 per year can be requested from the Board of the Disciplinary Domain.
- b. If the researcher does not have a permanent position at Uppsala University, the Board of the Disciplinary Domain presumes that the department concerned employ the grant recipient as researcher with a permanent position.
- c. Via the department board, the researcher have the *opportunity* to apply for employment as associate senior lecturer/senior lecturer at Uppsala University during the last three years of the grant. The application for employment as associate senior lecturer/senior lecturer shall be reviewed by the Board of the Disciplinary Domain, which can recommend exempting the employment from the duty to advertise the position. The department board shall always give their reasons as to why or why not a researcher should be employed as associate senior lecturer/senior lecturer with the exception from the duty to advertise the position, and the department board shall inform the Board of the Disciplinary Domain of these reasons if the application is rejected by the department board.

6. *Researchers admitted as Wallenberg Scholar/ Wallenberg Clinical Scholar*

- a. The researcher admitted as Wallenberg Scholar/Wallenberg Clinical Scholar can request annual co-funding for five years, with two-thirds of the funding coming from the disciplinary domain (and from ALF-funds for clinical researchers). The remaining one-third is requested from the Vice-

Chancellor's strategic funds. The department proposes the amounts to the Board of the Disciplinary Domain. The size of the total co-funding is decided by the Board of the Disciplinary Domain on a case-by-case basis. A maximum of SEK 500,000 per year can be requested from the Board of the Disciplinary Domain.

- b. If the researcher does not have a permanent position at Uppsala University, the Board of the Disciplinary Domain presumes that the department concerned shall employ the grant recipient as researcher with a permanent position.
- c. Via the department board, the researcher have the *opportunity* to apply for employment as senior lecturer at Uppsala University during the last three years of the grant. The application for employment as senior lecturer shall be reviewed by the Board of the Disciplinary Domain, which can recommend exempting the employment from the duty to advertise the position. The department board shall always give their reasons as to why or why not a researcher should be employed as senior lecturer with the exception from the duty to advertise the position, and the department board shall inform the Board of the Disciplinary Domain of these reasons if the application is rejected by the department board.

7. *Researchers who have been awarded a research excellence grant (5-year grant) from the Ragnar Söderberg Foundation or a special research council initiative for promising or excellent researchers*

- a. If the researcher does not have a permanent position at Uppsala University, the Board of the Disciplinary Domain presumes that the department concerned employ the grant recipient as researcher with a permanent position.
- b. Via the department board, the researcher have the *opportunity* to apply for employment as associate senior lecturer/senior lecturer at Uppsala University during the last three years of the grant. The application for employment as associate senior lecturer/senior lecturer shall be reviewed by the Board of the Disciplinary Domain, which can recommend exempting the employment from the duty to advertise the position. The department board shall always give their reasons as to why or why not a researcher should be employed as associate senior lecturer/senior lecturer with the exception from the duty to advertise the position, and the department board shall inform the Board of the Disciplinary Domain of these reasons if the application is rejected by the department board.

Appendix 1. List of research grants that qualify for targeted financial support from the disciplinary domain, as well as research grants that qualify for a teaching position.

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Point in the guideline	Research grant	Co-funding from Vice-Chancellor/disciplinary domain upon request	Opportunity to convert to teaching position without the duty to advertise the position
1.	Swedish Research Council: 6-year consolidator grant	KSEK 250/year for six years from the disciplinary domain	Yes
1.	Swedish Research Council: Grant for half-time research position in a clinical environment	No	Yes
2.	Other 6-year research employment	No	Yes
3.	ERC: Starting, Consolidator, Advanced and Synergy Grant	KSEK 500/year for five years from the disciplinary domain + KSEK 250/year for five years from the Vice-Chancellor	Yes
4.	Encouragement grant: ERC Starting Grant, no grant but score A	KSEK 500/year for two years from the disciplinary domain	No
4.	Encouragement grant: ERC Consolidator Grant, no grant but score A	KSEK 750/year for two years from the disciplinary domain	No
5.	Knut and Alice Wallenberg Foundation: Wallenberg Academy Fellows	Department proposes the amount to the Board of the Disciplinary Domain. 2/3 are funded by the disciplinary domain, 1/3 by the Vice-Chancellor. Max KSEK 500 can be requested from the disciplinary domain.	Yes
6.	Knut and Alice Wallenberg Foundation: Wallenberg Scholars and Clinical Scholars	Department proposes the amount to the Board of the Disciplinary Domain. 2/3 are funded by the disciplinary domain, 1/3 by the Vice-Chancellor. Max KSEK 500 can be requested from the disciplinary domain.	Yes
7.	Research excellence grant (5-year grant) from the Ragnar Söderberg Foundation or a special research council initiative for promising or excellent researchers	No	Yes